

Crosswalk of HCR 50 to ITD Performance Audit

Note: This crosswalk provides all of the questions listed in HCR 50 and where answers to those questions can be found in the OPE report *Idaho Transportation Department Performance Audit*.

The evaluation shall address the following questions:

- (1) As measured over an appropriate time frame, does the manner in which ITD schedules, finances and sets priorities for improvement projects minimize life-cycle costs?

Chapter 2: pp. 56–58; 67–76

- (2) Does ITD have appropriate processes and criteria to measure and evaluate the quality of its maintenance and construction work on state highways and do these processes and criteria include value engineering?

Chapter 2: pp. 77–87; Chapter 3: pp. 89–101

- (3) Does ITD have a process for identifying and selecting projects in writing that is followed in a timely basis to ensure project consideration, progress and completion?

Chapter 2: pp. 56–65

- (4) What practices are used in determining the best price to value ratio on project contracts?

Chapter 3: pp. 92–94

- (5) What metrics are being used to evaluate project success?

Chapter 2: pp. 82–84

- (6) Are there state policies or legislation that might hamper ITD's programs, increase costs or limit options for efficiency, and, if so, what are they?

Chapter 1: p. 26; Chapter 3: p. 104; Chapter 5: pp. 119–124

- (7) Are there any precautions undertaken by ITD to insulate it from construction cost fluctuations?

Chapter 3: pp. 98–101

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- (8) Is there work that ITD is currently outsourcing that ITD is capable of performing in-house or work that is being done in-house and that could be outsourced, including planning, environmental studies, right-of-way acquisition, design, public contacts and actual construction, and, if so:
- (a) Is ITD's staff adequate to perform work in-house that is being outsourced;
- Chapter 4: pp. 108–110**
- (b) Could cost savings result by either performing the work in-house or outsourcing the work; and
- Chapter 4: pp. 110–111**
- (c) Would the quality of the work improve by either performing the work in-house or by outsourcing it?
- Chapter 4: p. 112**
- (9) Does ITD have a written maintenance project budget and modeling process to project what moneys and resources will be required at any given time to maintain existing and additional highways?
- Chapter 2: pp. 48–55; pp. 68–70**
- (10) The independent evaluation address the ITD's contracting process and supervision with regard to consulting contracts, including:
- (a) The selection of those consultants requested to submit proposals and whether qualified consultants are being given the opportunity to submit proposals or whether the scope of contacted consultants has been arbitrarily limited.
- Chapter 3: p. 92**
- (b) Whether the scope of the project to be performed by the consultant has been carefully defined so as to limit the need for supplementals and add-ons; and
- Chapter 3: p. 95**
- (c) The performance of ITD in supervising its consultants, including auditing the consultant's task performance, hours of work claimed and requests for payment.
- Chapter 3: pp. 94–97**
- (11) The evaluation address the ITD's contracting and supervision with regard to construction contracts, including:

Office of Performance Evaluations, Idaho Legislature

- (a) Whether no frills designs are being employed that ensure efficiencies in the expenditure of taxpayer dollars and that enable projects to achieve the most construction for the dollar without sacrificing safety and while complying with federal and state guidelines;

Chapter 2: p. 60, 65

- (b) ITD's evaluation of methods and engineering competency employed by estimating project costs and whether such methods and engineering competency limit the awarding of contracts that substantially exceed the ITD estimate or limit the rebidding of contracts;

Not directly addressed under Phase 1 work

- (c) Determine whether quality inspections by qualified inspectors or project engineers are being utilized;

Chapter 3: p. 94–97

- (d) Examination of ITD's current policies and efforts to avoid and limit cost overruns and limit the supplemental amounts demanded by contractors. Also, whether there is a fair but firm appeals process in place, staffed with competent individuals, to address these issues; and

Chapter 3: pp. 103–104

- (e) Examination of ITD's internal review process on change orders, including the process for confirming change order costs and the utilization of value engineering in evaluating change orders.

Chapter 3: p. 90, 92, 94–95